

# Characterization of Heated and Room Temperature Dual Off-Axis and Confocal Sputtering Techniques for Thin-Films in AJA International Quantum Materials Deposition Systems

*CNF Summer Intern: Nikolas G. Wheeler*  
*Student Affiliation: Mechanical and Electrical Engineering,*  
*Rochester Institute of Technology*

*CNF Project Number: REU-25*

*Principal Investigator: Tom Pennell*

*User: Nikolas Wheeler*

*Affiliation(s): Cornell Nanoscale Facility, Fatemi Lab, The Northeast Regional Defense Technology Hub*

*Primary Source of Research Funding: The Northeast Regional Defense Technology Hub*

*PI Email Address: tjp83@cornell.edu*

*User Email Address(es): ngw39@cornell.edu, nikolaswheeler44@gmail.com*

*Research Group Website: <https://www.nordtechub.org>*

*Report Category: Process & Characterization*

*Primary CNF Tools Used: AJA Q, AJA Q2, Filmetrics R50, Flexus Film Stress Measurement, Hamatech Hot Piranha, P-7 Profilometer, Veeco Icon AFM*

*Report Word Count: 948*

**CLEARED**  
**For Open Publication**

Jan 06, 2026

Department of Defense  
OFFICE OF PREPUBLICATION AND SECURITY REVIEW

## **Abstract:**

As industry interest in quantum device fabrication grows, the Cornell Nanoscale Facility (CNF) has expanded its thin-film deposition capabilities with two AJA International sputtering systems: AJA Q and AJA Q2 [1]. This report primarily explores Q2, which features confocal sputtering (Nb and Ti targets) and a specialized Dual Off-Axis (DOA) deposition mode (Ta targets) [See Figure 1]. DOA utilizes two opposing RF plasma sources to deposit heavy atoms at lower-energy collisions, with added capability for in-chamber IR heating, up to 800°C, to promote the formation of thermodynamically stable alpha-tantalum ( $\alpha$ -Ta) [See Figures 2 and 4].  $\alpha$ -Ta —where literature defines its range between 15 to 60  $\mu\Omega\cdot\text{cm}$  [2] — can also form at room temperature when a 5nm Nb seed layer is deposited before the tantalum deposition, provided chamber pressure (P) approaches 3 mTorr from the right. The DOA adjustability (x) allows the plasma sources to move in and out of the deposition chamber, starting arbitrarily outside the chamber and increasing the distance from that point to 150mm. Both sources are mirrored from one another; thus, their 0mm origins are respective. In this study, confocal targets were tested at five pressures (3, 7, 10, 15, and 20 mTorr), while DOA targets were tested at three pressures (3, 7, and 20 mTorr) and three source distances (50, 75, and 100mm). Heated DOA depositions were performed at x=75, P=3, at 350°C, 450°C, 600°C, 700°C, 750°C, and 800°C, in addition to x=100, P=3 and P=7, at 750°C. Characterization was conducted using a variety of CNF tools: P-7 Profilometer for average film thickness, Flexus Film Stress Measurement for wafer stress, and Filmetrics R50 for resistivity. Ultimately, the data collected from these characterizations illustrate the effectiveness of AJA Q2, while highlighting its potential for generating  $\alpha$ -Ta crystal structure thin-films for superconducting electronics with observable quantum properties.

## **Summary of Research:**

To characterize heated and Nb seed layer depositions for  $\alpha$ -Ta formation, deposition rate (DR), average thickness, resistivity ( $\rho$ ), and film stress ( $\sigma$ ) were measured for all chamber targets. Due to elemental property differences, deposition times varied: Ta (1000 s) and Ti (1500 s) from previous AJA sputter tool data, and Nb (1800 s) estimated from previous Mo deposition data.

Substrates were MOS-cleaned and BOE-etched before deposition. Three wafers were masked with photoresist, diced into witness samples, used one at a time for each substrate, and measured at a 6-point average for film thickness via profilometry following lift-off. DR was calculated as thickness/time, and  $\sigma$  from Flexus film stress measurements [See Figure 3 for the master dataset].

#### Deposition Rates (DR, nm/s):

- Ti: Decreased with pressure: 0.046 (P=3 mTorr)  $\rightarrow$  0.022 (P=20 mTorr).
- Nb: Increased with pressure: 0.090 (P=3)  $\rightarrow$  0.120 (P=20).
- Ta: Increased with both DOA distance (x) and pressure:
  - x = 50 mm: 0.080 (P=3)  $\rightarrow$  0.210 (P=20)
  - x = 75 mm: 0.180 (P=3)  $\rightarrow$  0.250 (P=20)
  - x = 100 mm: 0.190 (P=3)  $\rightarrow$  0.300 (P=20)

#### Stress ( $\sigma$ , MPa):

- Ti: Transitioned from compressive at low P to tensile at mid P, returning to compressive at high P:
  - P=3: -570; P=10: +80; P=20: -250
- Nb: Low residual stress at mid P, more compressive at high P:
  - P=3: +110; P=10: -10; P=15: -4; P=20: -130
- Ta: Higher x and P reduced compression, often neutralizing  $\sigma$ :
  - P=3: x=50: -300; x=75: -100; x=100: -900  $\pm$  100
  - P=7: x=50: -130; x=75: -50; x=100: +20
  - P=20: x=50: -60; x=75: -6; x=100: -10

#### Resistivity ( $\rho$ , $\mu\Omega\cdot\text{cm}$ ):

- Ti: Stable (170–320) for P=3–15, spiking at P=20 (590).
- Nb:  $\sim$ 230 for P=7–20, with large variance at P=3 (18) and P=7 (710).
- Ta: Higher x reduced  $\rho$ , while higher P increased it:
  - P=3: x=50: 600; x=75: 300; x=100: 210
  - P=7: x=50: 1400; x=75: 660; x=100: 130
  - P=20: x=50: 3200; x=75: 2000; x=100: 700

#### Heated

#### Ta

#### Depositions:

Room-temperature data identified x=75 mm (P=3) and x=100 mm (P=3, P=7) as optimal for low  $\rho$ . At x=75, P=3,  $\sigma$  averaged -410 MPa and  $\rho=310 \mu\Omega\cdot\text{cm}$  before 750 °C, improving to  $\sigma\approx-50$  and  $\rho\approx31 \mu\Omega\cdot\text{cm}$  at 750–800 °C, within the  $\alpha$ -Ta range. At x=100, P=3,  $\sigma=-580$  and  $\rho=110$  at 750 °C; at P=7,  $\sigma=-350$  and  $\rho=60$ . Both x=100 substrates exhibited frosted silicide formations that hindered  $\alpha$ -Ta phase transitions due to their higher energies.

#### Nb Seed Layer Depositions:

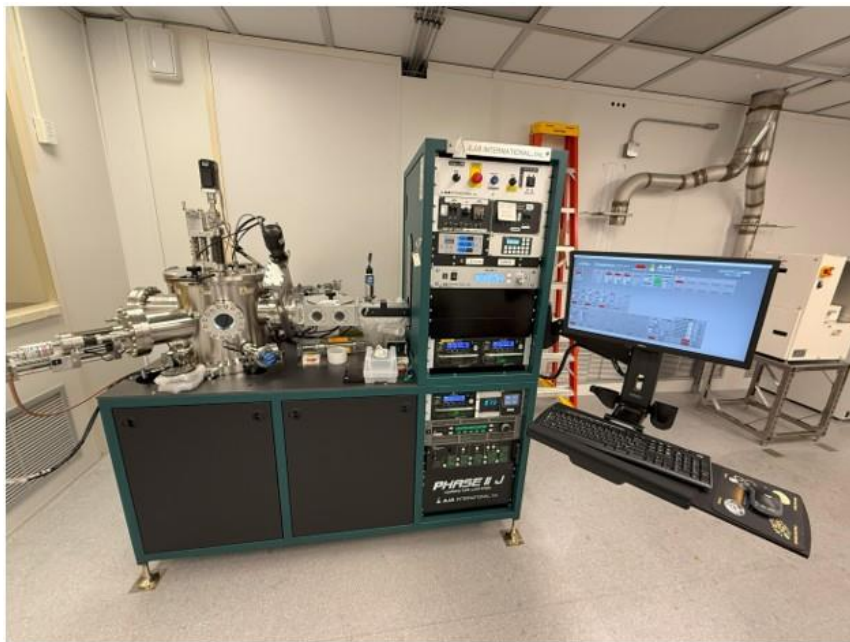
While standalone Nb depositions showed that increasing P raised  $\rho$ , Nb seed layer effects could differ when followed by Ta deposition. To characterize this interaction, Nb was deposited first confocally while Ta was deposited at its optimal DOA distance (x=75 mm) across different pressures. Deposition times were calculated as  $t = 5\text{nm}/\text{DR}$  using the respective DR values for each P value. At P=3,  $\rho$  was low (57  $\mu\Omega\cdot\text{cm}$ ) with  $\sigma=-390$  MPa (compressive). At P=7,  $\rho$  increased to 88  $\mu\Omega\cdot\text{cm}$  with  $\sigma=-87$  MPa (low residual stress). At P=20,  $\rho$  sharply increased to 2250  $\mu\Omega\cdot\text{cm}$  while  $\sigma$  remained low at -47 MPa. Overall,  $\rho$  rose steeply with pressure, while  $\sigma$  trended toward neutral values.

### **Conclusions and Future Steps:**

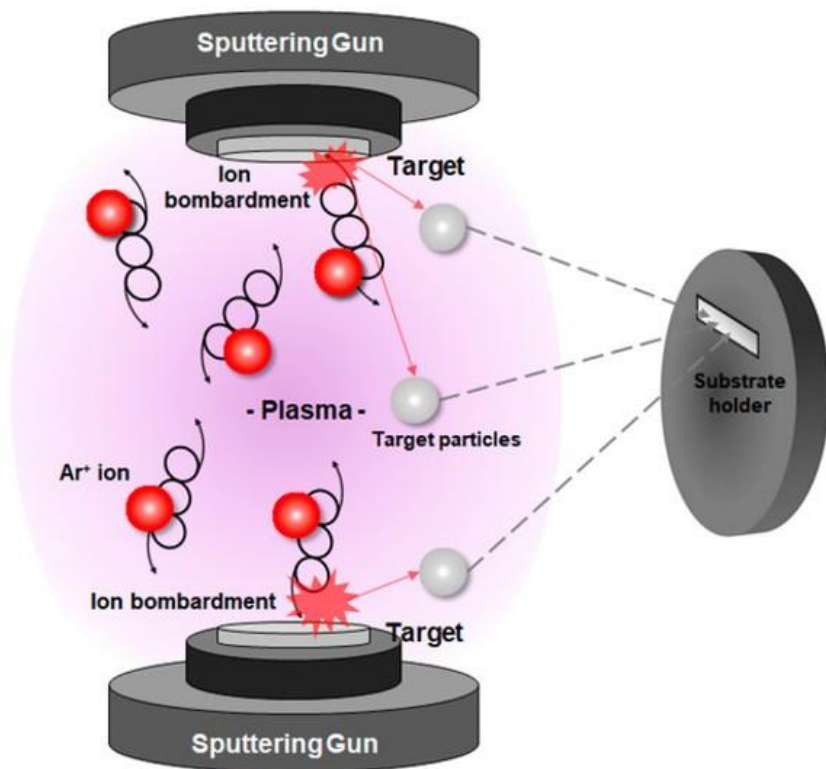
Characterization of Ti, Nb, and Ta targets established that optimal conditions for forming  $\alpha$ -Ta with low resistivity and neutral stress, critical for quantum electronics, require low-pressure (3–7 mTorr) deposition at a specific distance (75–100 mm). While heating to 750–800°C promoted these properties for Ta, close deposition distances risked silicide formation, which could be mitigated by lowering the temperature at optimal distances like 87.5 mm. Seed layer experiments revealed that although stress remained low across pressures, resistivity increased sharply at high pressures, indicating potential electrical degradation. Consequently, seed layers for Nb may be more favorable under low-pressure, unheated conditions. These preliminary results confirm that a combination of low chamber pressure and optimized deposition distance is key to producing desirable  $\alpha$ -Ta films, though further data repetition may be necessary to minimize variability.

### **References:**

- [1] Hideki Tomoshige. (2024). *Innovation Lightbulb: Private Investment in Quantum Technology*. Csis.org. <https://www.csis.org/analysis/innovation-lightbulb-private-investment-quantum-technology>
- [2] Myers, S., Lin, J., Souza, R. M., Sproul, W. D., & Moore, J. J. (2013). The  $\beta$  to  $\alpha$  phase transition of tantalum coatings deposited by modulated pulsed power magnetron sputtering. *Surface and Coatings Technology*, 214, 3845. <https://doi.org/10.1016/j.surfcoat.2012.10.06>
- [3] **(FIGURE 2)** Choi, J., Seong, J., Park, S., Kim, H., Kim, S., Kim, K. H., & Hong, J. (2023). Effect of the Working Pressure and Oxygen Gas Flow Rate on the Fabrication of Single-Phase Ag<sub>2</sub>O Thin Films. *Coatings*, 13(6), 1061. <https://doi.org/10.3390/coatings13061061>



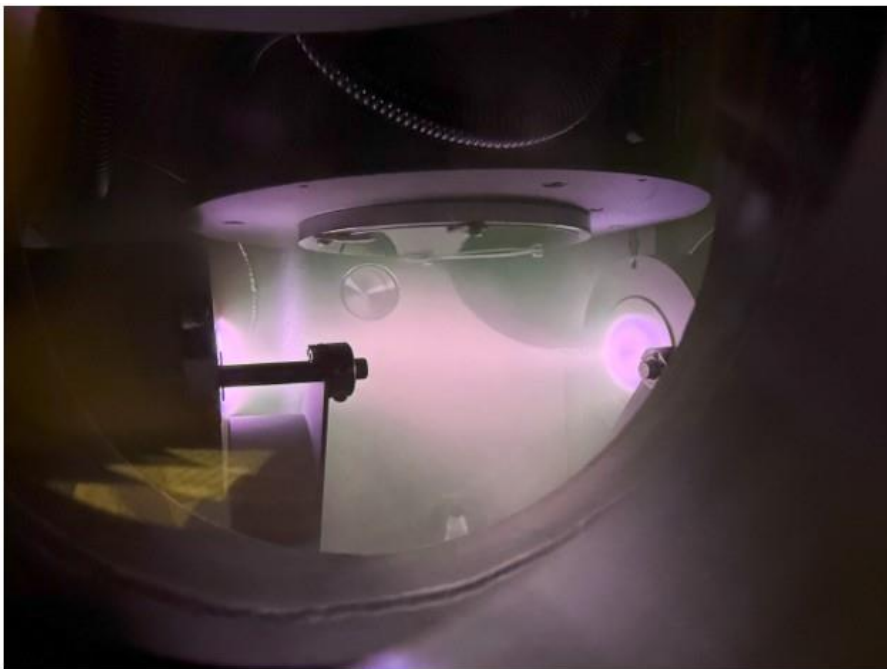
**Figure 1 - AJA International Q2 Deposition System**



*Figure 2 - Diagram of Dual Off-Axis Sputtering*

MATERIAL	Wafer Serial #	DOA Distance (mm)	Temp (°C)	Pressure (mTorr)	Sputter Duration (s)	Thickness (nm)	Deposition Rate (nm/s)	Film Stress (MPa)	Resistivity (uOhms-cm)
Ti	#0337	Confocal	RT	3	1500 s	70	0.046	-565	190
	#0333			7		74	0.049	-305	316
	#0195			10		55	0.037	80.2	170
	#0196			15		42	0.028	0.611	206
	#0087			20		33	0.022	-250	590
Nb	#0150	Confocal		3	1800 s	175	0.097	110	18
	#0184			7		150	0.083	-13.6	708
	#0185			10		201	0.112	-9.45	231
	#0188			15		174	0.097	-4.01	248
	#0180			20		214	0.119	-133	199
Ta	#0245	50 mm		3	1000 s	80	0.080	-273	632
	#0141			7		120	0.12	-129	1440
	#0332			20		210	0.21	-63.1	3150
	#0116	75 mm		15		111	0.111	-400	241
	#0189			3		180	0.18	-131	324
	#0238			7		190	0.19	-514	665
	#0241			20		250	0.25	-6	2049
	#0310 & #0311 Averaged		350	184 nm		0.184 nm/s	-561	340	
	#0317		450				-153	333	
	#0315		600				-441	297	
	#0112	700	-465				280		
	#0318	750	107				30		
	#0123	800	-583	31					
5nm Nb Seed	#0320	100 mm	RT	3	51s, 1000s Ta	1425	0.097	-393	57.2
	#0287			7	60s, 1000s Ta	1625	0.083	-87	88
	#0284			20	45s, 1000s Ta	2657	0.119	-46.7	2245
Ta	#0288	100 mm	RT	3	1000 s	1700	1.70	-982	180
	#0309			7		1500	1.5	17.5	130
	#0308			20		3000	3.0	-8.61	720
	#0090 & #0092 Averaged		750 °C	3		1700	1.7	-583	106
	#0123			7		1500	1.5	-347	59

Figure 3 - Master Dataset



*Figure 4 - In-Chamber DOA Plasmas*